

# WORKMAN NYDEGGER & SEELEY

ATTORNEYS AT LAW  
A PROFESSIONAL CORPORATION

1000 EAGLE GATE TOWER  
60 EAST SOUTH TEMPLE  
SALT LAKE CITY, UTAH 84111  
TELEPHONE (801) 533-9800  
FACSIMILE (801) 328-1707

RICK D. NYDEGGER  
DAVID O. SEELEY  
BRENT P. LORIMER  
THOMAS R. VUKSINICK  
LARRY R. LAYCOCK  
JONATHAN W. RICHARDS  
DAVID R. WRIGHT  
JOHN C. STRINGHAM  
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ROBYN L. PHILLIPS  
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DAVID B. DELLENBACH  
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L. DAVID GRIFFIN  
R. BURNS ISRAELSEN  
DAVID R. TODD  
FRASER D. ROY  
CARL T. REED  
JESÚS JUANÓS I TIMONEDA, Ph.D.  
STEPHEN D. PRODNUK\*, Ph.D.  
R. PARRISH FREEMAN, Jr.  
ADRIAN J. LEE†

†ADMITTED ONLY IN CALIFORNIA  
\*ADMITTED ONLY IN VIRGINIA

H. ROSS WORKMAN  
OF COUNSEL

PATENT

TRADEMARK

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TRADE SECRETS

UNFAIR COMPETITION

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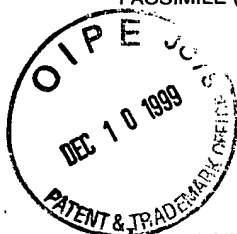
MAILING ADDRESS:

P.O. BOX 45862  
SALT LAKE CITY, UT 84145

INTERNET

HOME PAGE: <http://www.wnspat.com>

GENERAL E-MAIL: [info@wnspat.com](mailto:info@wnspat.com)



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Jun Liu, et al.

Serial No.: 09/371,916

Filed: August 10, 1999

For: AUTOMATIC COMPILING OF ADDRESS  
FILTER INFORMATION

### RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Attention: Box Missing Parts  
Assistant Commissioner of Patents  
Washington, D. C. 20231

Sir:

In response to the Notice to File Missing Parts of Application mailed August 27, 1999, please find enclosed herewith the following items for filing in the United States Patent and Trademark Office in connection with the above-identified patent application:

(1) a Declaration, Power of Attorney, and Petition executed by the inventors  
Jun Liu, et al.;

(2) an Assignment from the inventors Jun Liu, et al. to WebTV Networks,  
Inc.;

(3) a copy of the Notice to File Missing Parts of Application - Filing Date Granted;

(4) Check No. 112778 in the amount of \$170.00 to cover the filing fee for the above-identified application, the recordation fee for the enclosed patent assignment, and the surcharge fee pursuant to 37 C.F.R. § 1.16(e). These fees have been calculated as follows:

Assignment recordation fee	=	\$	40.00
Surcharge fee	=	\$	130.00
TOTAL	=	\$	170.00

(5) Check No. 112777 in the amount of \$380.00 to cover the filing fee for the Petition for Two-Month Extension of Time.

Please debit any additional fees, or credit any overpayment, to Deposit Account No. 23-3178. Triplicate copies of this sheet are enclosed.

The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or to credit any overpayment to Deposit Account No. 23-3178. Copies of this sheet are enclosed in triplicate.

X Any additional filing fees required under 37 C.F.R. § 1.16.

X Any patent application processing fees under 37 C.F.R. § 1.17.

The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 23-3178. Copies of this sheet are enclosed in triplicate.

X Any patent application processing fees under 37 C.F.R. § 1.17.

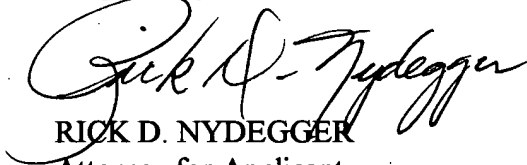
     The issue fee set in 37 C.F.R. § 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

X Any filing fees under 37 C.F.R. § 1.16 for presentation of extra claims.

Please address all future correspondence in connection with this case to the attention of the undersigned.

Dated this 9 day of DECEMBER, 1999.

Respectfully submitted,



RICK D. NYDEGGER  
Attorney for Applicant  
Registration No. 28,651

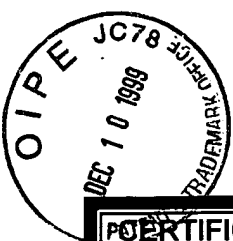
WORKMAN, NYDEGGER & SEELEY  
1000 Eagle Gate Tower  
60 East South Temple  
Salt Lake City, Utah 84111  
Telephone: (801) 533-9800

RDN:dms

Enclosures

G:\DATA\WPDOCSRN\WEBTV\OTHERDOC\0909TRX.534

Transmitted: PTO-1595 Recordation Cover Sheet and Assignment; Declaration, Power of Attorney, and Petition; Check No. 112778 in the amount of \$170.00



12-10-99

Sect 4

(4)

## CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Jun Liu, et al.

Docket No.

14531.53.4

Serial No.  
09/371,916Filing Date  
August 10, 1999Examiner  
unknownGroup Art Unit  
2751

Invention: AUTOMATIC COMPILING OF ADDRESS FILTER INFORMATION

I hereby certify that the Response to Notice to File Missing Parts Transmittal letter (in triplicate), Petition for Two-Month Extension of Time (in triplicate), Declaration, Power of Attorney and Petition (4pgs), PTO-1595 Recordation Cover Sheet and Assignment (5pgs), Copy of Notice to File Missing Parts *Filing Date Granted*, Check No. 112778 in the amount of \$170.00, Check No. 112777 in the amount of \$380.00, and postcard are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: BOX MISSING PARTS, The Assistant Commissioner for Patents, Washington, DC 20231 on DECEMBER 9, 1999.

DACIA SMITH

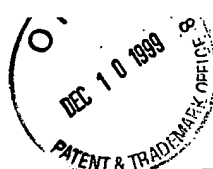
(Typed or Printed Name of Person Mailing Correspondence)

Dacia Smith

(Signature of Person Mailing Correspondence)

EM 050 771 642 US

("Express Mail" Mailing Label Number)



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/371,916	08/10/99 LIU	J	14531.53.4

0232/0827  
R BURNS ISRAELSEN  
WORMAN NYDEGGER & SEELEY  
1000 EAGLE GATE TOWER  
60 EAST SOUTH TEMPLE  
SALT LAKE CITY UT 84111  
NOT ASSIGNED  
2751

DATE MAILED: 08/27/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
☐ small entity (statement filed) ☒ non-small entity is \$ 130.

- ☐ 1. The statutory basic filing fee is:  
☐ missing.  
☐ insufficient.  
Applicant must submit \$\_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. The following additional claims fees are due:  
\$\_\_\_\_\_ for \_\_\_\_\_ total claims over 20.  
\$\_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.  
\$\_\_\_\_\_ for multiple dependent claim surcharge.  
Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:  
☒ is missing or unsigned.  
☐ does not cover the newly submitted items.  
An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.  
☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.  
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.  
☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).  
☐ 7. Your filing receipt was mailed in error because your check was returned without payment.  
☐ 8. The application was filed in a language other than English.  
Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).  
☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

TRIMBROWN  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

12/13/1999 HPRASASD 00000029 09371916  
02 FC:105 130.00 DP